

SUBMISSION BY INDIA

On identification of the sources of input for the global stocktake

June 15, 2016

1. India welcomes the decision taken at the first session of the Ad Hoc Working Group on the Paris Agreement (APA) (FCCC/APA/2016/L.3) on Agenda item 6 on matters relating to the global stocktake referred to in Article 14 of the Paris Agreement, in particular on Item 6(a) Identification of the sources of input for the global stocktake; and Item 6(b) Development of the modalities of the global stocktake.
2. We believe that the Global Stocktake that will take place in 2023 will be a unique opportunity for Parties to have a collective, comprehensive and transparent assessment of the progress of their actions, both mitigation and adaptation, as well as support provided in relation to the overall implementation of the Paris Agreement, and hence will help in assessing the enhancement of the implementation of the Convention.

Introduction

3. The 21st Conference of Parties (COP-21) in Paris saw the adoption of the Paris Agreement. The Agreement will give effect and enhance the implementation of the Convention and aims to strengthen the global response to the threat of climate change in the context of sustainable development and efforts to eradicate poverty. And doing so must reflect equity and the principle of common but differentiated responsibilities and respective capabilities (CBDR & RC), in the light of different national circumstances.
4. It may be noted that the scope of Parties nationally determined contributions have been elaborated under Article 3 of the Paris Agreement which stipulates that all Parties are to undertake and communicate ambitious efforts as defined in Articles 4, 7, 9, 10, 11 and 13 with a view to achieving the purpose of the Agreement. It further states that the efforts of all Parties will represent a progression over time, while recognizing the need to support developing country Parties for the effective implementation of the Agreement. In this backdrop, we are of the view that the stocktake must operationalize the principle of equity and CBDR in its attempt to assess the collective progress of Parties in mitigation, adaptation and the provisions on means of implementation, in pursuance of Article 14.1 of the Agreement.

Submissions

(a) Identification of the sources of input for the global stocktake

5. We think it is important to identify the necessary sources of the input to the global stocktake which should address the mandate of capturing the overall progress of Parties action taken and support provided in their NDCs in the context of Article 3 of the Agreement. The sources of input for the global stocktake have been illustrated in paragraph 99 of decision 1/CP.21. The information to be obtained under paragraph 99(a) can be sourced from inputs provide by Parties through their ambitious efforts that Parties are mandated to communicate, as per the definition and scope of NDCs in Article 3 of the Paris Agreement.
6. There is no denial of the fact that the very features of NDCs are nationally determined and they must be founded upon differentiated obligations and eventually to be implemented in accordance with the provision of Article 2.2 of the Agreement. Implementation includes action on mitigation and adaptation as well as provision of support. One of the key features of the NDC is its comprehensiveness, which has been elaborated in Article 3 of the Agreement. So far as the overall effect of the NDCs communicated by Parties in accordance with the provisions of paragraph 99(a)(i) of 1CP/21 is concerned, it may be noted that the global stocktake would include the overall assessment of the all Parties' collective progress on mitigation, adaptation and addressing the pillars of means of implementation.
7. Understandably, the assessment of collective progress through the global stocktake process has the following components.
 - (i) All Parties overall progress of contributions towards mitigation taking into account the fact that while making efforts to achieve the long term temperature goal as set out in Article 2 of the Paris Agreement, peaking of green house gas emissions will take longer time for developing country Parties and therefore their efforts to undertake long term reduction of emissions will have to be implemented on the basis of equity, and in the context of sustainable development and efforts to eradicate poverty, without undermining the principles and provisions of the Convention.
 - (ii) The stocktake while recognizing the adaptation efforts of developing country Parties, will have to enhance the implementation of adaptation action taking into account the priorities and support needs as updated by the parties in their adaptation communications, without creating any additional burden for developing country Parties. In doing so, the stocktake will have to review the adequacy and effectiveness of adaptation and support provided for adaptation in accordance with the provisions of paragraph 7 of Article 7 of the Agreement.

- (iii) The stocktake will have to take into account the developed country Parties' commitments to provide financial resources to assist developing country Parties with respect to both mitigation and adaptation, their leadership in mobilizing climate finance taking into account the needs and priorities of developing country Parties and how mobilization of climate finance represents a progression beyond their previous efforts.
 - (iv) The process of global stocktake must factor the available information on efforts related to financial support to be provided by developed country parties to developing country parties in enabling the developing countries to access to environmentally sound technologies free of cost and for undertaking collaborative research and development to enhance their mitigation and adaptation action.
 - (v) The global stocktake must take into account the efficacy of the institutional arrangements under the Convention as well as the Paris Agreement which are mandated to enhance the capacity building activities that will support the implementation of the Agreement.
8. It is imminent that the stocktaking will have to assess the gaps in the fulfillment of the overall means of implementation support required by the developing country parties, and concomitantly review the progress of Developed Country Parties' collective actionable commitments in enabling developing countries to undertake ambitious efforts for effective implementation of the Agreement. This information on means of implementation support can be aggregated from the communication of the developing country Parties' conditional component of their NDCs with respect to the projections for concrete financial, technological and capacity building needs.
9. In this backdrop, the source of information for global stocktake may pertain to the national communications, biennial reports, and biennial update reports of all the Parties. In addition to this, the work output of various thematic bodies of the Convention and new bodies and institutions established under the Paris Agreement should serve as potential sources for informing and contributing to the global stocktake process. For example, the report of the operating entities of the Financial mechanism of the Convention, Standing Committee on Finance, Adaptation Fund, the Adaptation Committee, the Executive Committee of the Warsaw International Mechanism, Technical executive Committee and the Climate Technology Centre Network and the Paris Committee on Capacity Building on their respective mandate to implement the provisions of the Paris Agreement and the Convention, should provide input to the global stocktake.
10. It may be noted that the provision of Article 9(5) of the Paris Agreement states that the developed country Parties shall biennially communicate indicative quantitative and qualitative information related to paragraphs 1 and 3 of the Article 9, as applicable, including as available, projected levels of public financial resources to be provided to developing country Parties. This must be read in conjunction with

paragraph 55 of the decisions 1CP/21 to give effect to the Agreement (in section III), which decides to initiate by COP 22(2016) a process to identify the information to be provided by Parties, and to make it coherent with previous decisions of the Conference of Parties, especially with Article 12 of the Convention. It may be noted from the interpretation of this text that certain sources of information which will feed into the global stocktake will further be elaborated and decided in COP 22. In this context, Parties should have the right to further share their views in COP 22 regarding identification of various sources of input to the global stocktake. This will allow Parties to obtain greater clarity regarding each other's views, and hopefully generate greater levels of understanding that can eventually serve as the basis for future consensus. This will facilitate the process of stocktaking for assessing the overall progress, considering Parties' NDCs on mitigation, adaptation and means of implementation in a comprehensive, balanced and differentiated manner.

India reserves the right to make additional submissions and present further views on the relevant issues connected with the APA
