## Assessment of progress on NAPs in developing countries

## *Questionnaire to collect information in support of the assessment of progress in the process to formulate and implement National Adaptation Plans under the Subsidiary Body for Implementation*

## August 2020

## The process to formulate and implement National Adaptation Plans (NAPs) was established in 2010 as part of the Cancun Adaptation Framework in 2010. Its objectives are:

## To reduce vulnerability to the impacts of climate change, by building adaptive capacity and resilience;

## To facilitate the integration of climate change adaptation, in a coherent manner, into relevant new and existing policies, programmes and activities, in particular development planning processes and strategies, within all relevant sectors and at different levels, as appropriate (decision 5/CP.17).

## The process to formulate and implement NAPs is a series of linked and interdependent activities which, collectively and successively, lead to the formulation of NAPs and subsequent implementation of the policies, programmes and projects identified by them, to address adaptation covering all important sectors and issues in the country, on a continuing, iterative and coherent manner, with due consideration of broader development priorities and the long-term nature of climate change.

**The process to formulate and implement NAPs is significant for enhancing adaptive capacity, strengthening resilience and reducing vulnerability to climate change with a view to contributing to sustainable development in the broader context of the global goal on adaptation referred to in Article 7 of the Paris Agreement. (SBI 44)**

By decision 4/CP.21, paragraph 12(b), the Subsidiary Body for Implementation (SBI) is requested to assess progress made in the process to formulate and implement NAPs in May 2018, with a view to making recommendations thereon to the Conference of the Parties (COP), as appropriate. Relative to this, Parties are invited to provide information guided by this online questionnaire.

The questionnaire is composed of four parts, as follows:

**Part I:** [Assessing progress based on the building blocks of the process](#_PART_I) to formulate and implement NAPs

**Part II:** [Support for the formulation and implementation of NAPs](#_PART_II)

1. [Support accessed and received by developing countries (financial and technical)](#_Support_received_and)
2. [Support provided to developing countries (financial and technical)](#_Support_provided_(financial)

**Part III:** [Monitoring, evaluation and reporting related to the process to formulate and implement NAPs](#_Part_III_-)

**Part IV:** [Cross cutting issues](#_Part_IV_-)

## Respondent’s details

## Name of respondent: (*Authorized by the UNFCCC Focal Point, if not the Focal Point*)

## Country:

## Designation/Office:

## Email address:

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## PART I *- Assessing progress based on the building blocks of the process*

1. Is your country preparing a NAP?

Yes, continue to next question.

No, why?

Please elaborate your reason. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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1. Where are you in the process to formulate and implement your NAP?

*Please tick the boxes that correspond to the activities that your country has undertaken. These building blocks correspond to categories that are used in the annual report on progress on NAPs to the SBI (FCCC/SBI/2015/INF.11).*

*For every ticked box, please indicate the output and relevant links in which it can be accessed.*

***Element A: Laying the groundwork and addressing gaps***

Initiating and/or launching the process to formulate and implement NAPs

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Submitting proposals to the GCF for readiness funding for NAPs

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Receiving approval for GCF readiness funding for NAPs \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Formulating a mandate for the process \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Define institutional arrangements and a coordination mechanism

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Consulting stakeholders

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Synthesizing available information, stocktaking relevant activities and assessing gaps and needs

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Developing road maps for the process

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Completing road maps for the process

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***Element B: Preparatory elements***

Analysing past climate and climate change scenarios

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Comprehensively assessing climate vulnerability

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Undertaking activities on integrating adaptation into national and subnational development planning

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Identifying adaptation options to address key vulnerabilities \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Appraising, prioritizing and ranking adaptation options \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Compiling draft NAPs for consultation and endorsement \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Communicating NAPs \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

***Element C: Implementation strategies***

Prioritizing climate change adaptation in national planning \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Designing coherent implementation strategies, including synergies \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Implementing and managing actions in NAPs to reduce vulnerability and to facilitate the integration of adaptation into development planning through policies, projects, programmes and other activities.

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***Element D: Reporting, monitoring and review***

Designing and applying a monitoring and evaluation framework or system

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Communicating progress on NAPs \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Monitoring and periodically reviewing the process

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Iteratively updating NAPs

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## PART II - *Support for the formulation and implementation of NAPs*

### *Support received and accessed (financial and technical)*

***Financial Support***

1. Please select the funding source you have already accessed or are in the process of accessing for the formulation of NAP.

GCF Readiness

GCF Direct access

Least Developed Countries Fund (LDCF)

Special Climate Change Fund (SCCF)

Bilateral assistance, please specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Domestic Sources

Other: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. At what stage are you in the process of accessing financial support for NAPs?

*GCF Readiness window (Check all that apply)*

Submission of readiness request by country

Submission of readiness proposal by country

Grant agreement sent to country

Disbursements

Other \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

*GCF Direct access window. (Please describe the activities you have undertaken so far.)*

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*LDCF*

Submission of concept note in PIF form

Project preparation

Submission of full project proposal

Disbursement and implementation

Other: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

*SCCF*

Submission of concept note in PIF form

Project preparation

Submission of full project proposal

Disbursement and implementation

Other: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Are there any challenges in accessing funding support for the process to formulate and implement NAPs? What are these challenges?

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1. For countries that have successfully obtained funding for NAPs, are there any challenges in utilizing the funds? If yes, what are they?

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***Technical Support***

1. Are you receiving any technical support for the formulation of NAPs? If yes, from what support programme or organization? Please tick the appropriate box below.

NAP global support programmes (i.e. UNDP-UNEP NAP GSP)

NAP global support networks (i.e. NAP Global Network)

Bilateral/development agencies, please specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_

Other UN agencies, please specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_

Other:

1. In what areas of work in the NAP process do you receive technical support? Please elaborate on the nature of activities and specific elements based on the building blocks in Part I.

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1. Are there any challenges in accessing technical support for the process to formulate and implement NAPs? What are these challenges?

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### *Support provided (financial and technical)*

1. Are you providing support to the formulation of NAPs in other countries?

Yes, please proceed to subsequent questions.

No, proceed to Part III

1. To what countries have you provided support for NAPs? For what areas of work in the NAP process do you provide technical/financial support? Please elaborate on the nature of activities and specific elements based on the building blocks in Part I.

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1. Have you experienced any challenges in providing support on NAPs? In what form or nature?

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## Part III - *Monitoring and evaluation and reporting related to the process to formulate and implement NAPS*

1. What existing channel(s) does your country use to report to the UNFCCC process the progress in undertaking the process to formulate and implement NAPs?

Submission of NAPs to NAP Central

Submission of other NAP process outputs to NAP Central

National communications

Biennial update reports

Nationally determined contributions

Others, please specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. What specific metrics do you use in monitoring the formulation and implementation of NAPs? (process, outputs, impacts and outcomes) For additional information, you may refer to the [PEG publication of the LEG](https://www4.unfccc.int/sites/NAPC/Documents%20NAP/UNFCCC_PEGMonitoring_Tool.pdf).

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## Part IV - *Cross cutting issues*

1. How do you engage stakeholders in the process to formulate and implement NAPs?

Create a set of criteria for identifying stakeholders

Conduct stakeholder analysis through desk review and/or focus group discussions

Organize a national stakeholder consultation

1. What are the institutional arrangements and coordination mechanism to engage them?

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1. Under which specific activities in your NAP process did you consider the following:

* Gender:

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* Vulnerable groups and communities:

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* Ecosystems:

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1. Any other information you would like to share relevant to the process to formulate and implement national adaptation plans.

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| In the event you fill out the questionnaire offline, please send your input to: NAPCentral@unfccc.int with the Subject:  **[Country Name] Response to Questionnaire on NAPs** |