## Assessment of progress on NAPs in developing countries

## *Questionnaire to collect information in support of the assessment of progress in the process to formulate and implement National Adaptation Plans under the Subsidiary Body for Implementation*

## August 2023

## The process to formulate and implement National Adaptation Plans (NAPs) was established in 2010 as part of the Cancun Adaptation Framework in 2010. Its objectives are:

## To reduce vulnerability to the impacts of climate change, by building adaptive capacity and resilience;

## To facilitate the integration of climate change adaptation, in a coherent manner, into relevant new and existing policies, programmes and activities, in particular development planning processes and strategies, within all relevant sectors and at different levels, as appropriate (decision 5/CP.17).

## The process to formulate and implement NAPs is a series of linked and interdependent activities which, collectively and successively, lead to the formulation of NAPs and subsequent implementation of the policies, programmes and projects identified by them, to address adaptation covering all important sectors and issues in the country, on a continuing, iterative and coherent manner, with due consideration of broader development priorities and the long-term nature of climate change.

**The process to formulate and implement NAPs is significant for enhancing adaptive capacity, strengthening resilience and reducing vulnerability to climate change with a view to contributing to sustainable development in the broader context of the global goal on adaptation referred to in Article 7 of the Paris Agreement. (SBI 44)**

By decision 3/CP.26, paragraph 3(b), the Subsidiary Body for Implementation (SBI) invited parties to provide information, guided by a questionnaire, on an ongoing basis through NAP Central, in relation to the assessment of progress made in the process to formulate and implement NAPs.

The questionnaire is composed of five parts, as follows:

**Part I:** [Assessing progress based on the building blocks of the process](#_PART_I) to formulate and implement NAPs

**Part II:** [Support for the formulation and implementation of NAPs](#_PART_II)

1. [Support accessed and received by developing countries (financial and technical)](#_Support_received_and)
2. [Support provided to developing countries (financial and technical)](#_Support_provided_(financial)

**Part III:**  [Implementation of the policies, project and programmes identified in the NAPs](#_Part_III_–)

**Part IV:** [Monitoring, evaluation and reporting related to the process to formulate and implement NAPs](#_Part_III_-)

**Part V:** [Cross cutting issues](#_Part_IV_-)

## Respondent’s details

## Name of respondent: (*Authorized by the UNFCCC Focal Point, if not the Focal Point*)

## Country:

## Designation/Office:

## Email address:

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## PART I *- Assessing progress based on the technical guidelines for the process to formulate and implement NAPs*

1. Do you have a completed NAP?

Yes, please proceed to Q1A below.

No, please proceed to Q2 below.

1(a). Have you shared it to NAP Central?

Yes, please proceed to next question (should jump to implementation)

No, please submit it to the UNFCCC Secretariat through the submission portal.

1. Is your country preparing a NAP?

Yes, continue to next question.

No, why?

Please provide details. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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1. Where are you in the process to formulate and implement your NAP?

*Please tick the boxes that correspond to the activities that your country has undertaken. These building blocks correspond to categories that are used in the annual report on progress on NAPs to the SBI (FCCC/SBI/2015/INF.11).*

*For every ticked box, please indicate the output(s) and relevant links in which the output(s) can be accessed.*

***Element A: Laying the groundwork and addressing gaps***

Initiating and/or launching the process to formulate and implement NAPs

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Submitting proposals to the GCF for readiness funding for NAPs

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Receiving approval for GCF readiness funding for NAPs \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Formulating a mandate for the process \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Defining institutional arrangements and a coordination mechanism

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Consulting stakeholders

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Synthesizing available information, stocktaking relevant activities and assessing gaps and needs

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Developing road maps for the process

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Completing road maps for the process

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***Element B: Preparatory elements***

Analysing past climate and climate change scenarios

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Comprehensively assessing climate vulnerability

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Undertaking activities on integrating adaptation into national and subnational development planning

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Identifying adaptation options to address key vulnerabilities \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Appraising, prioritizing and ranking adaptation options \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Compiling draft NAPs for consultation and endorsement \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Communicating the NAPs to national and other relevant stakeholders \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Submitting the NAP to the UNFCCC \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

***Element C: Implementation strategies***

Prioritizing climate change adaptation in national planning \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Designing coherent implementation strategies, including synergies \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Implementing and managing actions in NAPs to reduce vulnerability and to facilitate the integration of adaptation into development planning through policies, projects, programmes and other activities.

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***Element D: Reporting, monitoring and review***

Designing and applying a monitoring and evaluation framework or system

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Communicating progress on NAPs \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Monitoring and periodically reviewing the process

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Iteratively updating NAPs

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## PART II - *Support for the formulation and implementation of NAPs*

### *Support received and accessed (financial and technical)*

***Financial Support***

1. Have you accessed financial support for NAPs?

Yes

No

1. Please indicate all funding source you have already accessed or are in the process of accessing for the formulation of the NAP, and the stage at which you are at (proposal development, review by funding entity, disbursement, or implementation stage)

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1. Are there any challenges in accessing funding for the process to formulate and implement NAPs? What are the challenges?

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1. If you have successfully obtained funding for NAPs, are there any challenges in utilizing the funds? If yes, what are they?

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***Technical Support***

1. Are you receiving any technical assistance for the formulation of NAPs? If yes, from what body, organization or support programme? Identify all relevant bodies, organizations and support programmes.

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1. In what areas of work in the process to formulate and implement NAPs do you receive the technical support? Please elaborate on the nature of activities and specific elements based on the technical assistance mentioned above.

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1. Are there any challenges in accessing technical support for the process to formulate and implement NAPs? What are these challenges?

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## Part III – Implementation of the policies, project and programmes identified in the national adaptation plans

1. What are the key priority activities in the NAP are or will be implemented through the GCF Country programming?

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1. What other sources of funding is your country accessing/using or targeting to access/use and for what priorities?

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1. What are the plans to implement the policies, projects and programmes identified in the NAP?

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1. What are the key strategies to measure progress and effectiveness of adaptation efforts in your country?

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1. Are you receiving any technical assistance to write project proposals (including meeting all proposal approval requirements) for submission to the GCF or other funding sources for implementation? If yes, from what support programme or organization? Identify all relevant support programmes and organization.

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1. If you are already implementing climate change adaptation projects and programmes have they contributed to reduction in risk and vulnerability? And what are the specified outputs from such interventions

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1. Has your country integrated climate change risk fully into all operations at all levels. And what are the experience so far?

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## Part IV - *Monitoring and evaluation and reporting related to the process to formulate and implement NAPS*

1. What existing channel(s) does your country use to report to the UNFCCC process the progress in undertaking the process to formulate and implement NAPs?

Submission of NAPs to the UNFCCC (on NAP Central)

Submission of other NAP process outputs to the UNFCCC (on NAP Central)

National communications

Biennial update reports

Nationally determined contributions

Adaptation communications

Others, please specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. What specific metrics do you use in monitoring the formulation and implementation of NAPs? (input, process, outputs, impacts and outcomes) For additional information, you may refer to the [PEG publication of the LEG](https://www4.unfccc.int/sites/NAPC/Documents%20NAP/UNFCCC_PEGMonitoring_Tool.pdf).

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## Part V – *Guiding principles*

1. How do you engage stakeholders in the process to formulate and implement NAPs?

Create a set of criteria for identifying stakeholders

Conduct stakeholder analysis through desk review and/or focus group discussions

Organize a national stakeholder consultation \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Under which specific activities in your process did you consider gender, youth, private sector, local communities and Indigenous Peoples, vulnerable groups and communities, and ecosystems?

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1. Any other information you would like to share relevant to the process to formulate and implement national adaptation plans.

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| In the event you fill out the questionnaire offline, please send your input to: NAPCentral@unfccc.int with the Subject:  **[Country Name] Response to Questionnaire on NAPs** |